SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

		Table I - Non-Deriva	ative Securities Acquired. Disposed of. or Bene	ficially Owned			
(City)	(State)	(Zip)		Person			
NOVATO	CA	94949		Form filed	l by One Reportir I by More than Or	0	J
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Join Line)			able
60 LEVERO							
	()	MACEUTICAL INC.	04/19/2020	SVP, Controller and PAO			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	below)	I	below)	
				X Officer (gi		Other (speci	
Huizenga 🕽	Theodore Ala	an	Ultragenyx Pharmaceutical Inc. [RARE]	(Check all applicat	,	10% Owner	
1. Name and Ad	dress of Reporting	Person*	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of I		(s) to Issuer	
	(2).		or Section 30(h) of the Investment Company Act of 1940	•			
Obligations n Instruction 1	nay continue. See (b)	File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934	1	hours per response:		0.5
	6. Form 4 or Form 5	•••••			Estimated average	ge burden	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
	Code V		v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock	04/19/2020		F		140(1)	D	\$58.57	19,818 ⁽²⁾	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		5. Number of				7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Represents shares surrendered to the Issuer by the Reporting Person to pay required tax withholdings due to the vesting of Restricted Stock Units ("RSUs").

2. Includes previously reported shares of common stock underlying RSUs granted to the Reporting Person, which are subject to certain vesting conditions.

Remarks:

/s/ Karah Parschauer, attorney-04/21/2020

in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.