## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person*				2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Ultragenyx Pharmaceutical Inc.</u> [RARE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	KAKKIS EN	<u>AIL D</u>			X	Director	10% Owner			
					- x	Officer (give title	Other (specify			
	(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)			
C/O ULTRAGENYX PHARMACEUTICAL INC.				03/01/2019		President & CEO				
60 LEVERONI COURT										
				4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
	(Street)				Line)					
	NOVATO	CA	94949		X	Form filed by One Rep	orting Person			
				-		Form filed by More tha Person	n One Reporting			
	(City)	(State)	(Zip)		1					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Table 1- Non-Derivative decunices Acquired, Disposed of, or Denencially Owned									
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	03/01/2019		A		20,000 <sup>(1)</sup>	A	\$0.00	470,472 <sup>(2)</sup>	D	
Common Stock	03/01/2019		F		2,802 <sup>(3)</sup>	D	\$67.55	467,670	D	
Common Stock								2,559,741	Ι	By Emil Kakkis and Jenny Soriano Living Trust, dated June 18, 2009

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and Amount 8. Price of 9. Number of 11. Nature 10. Ownership Derivative Security (Instr. 3) Securities Derivative derivative Conversion Dat Execution Date Transaction Expiration Date (Month/Day/Year) of Indirect (Month/Day/Year) Derivative Underlying Beneficial or Exercise Code (Instr. Security Securities if any Form: Price of Derivative (Month/Day/Year) Direct (D) or Indirect 8) Securities Derivative Security (Instr. 5) Beneficially Ownership Acquired (A) or (Instr. 3 and 4) Owned (Instr. 4) Following Security (I) (Instr. 4) Disposed Reported of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount Number Date Expiration of Code v (A) (D) Exercisable Date Title Shares Stock Option Commor 03/01/2019 (4) \$67.55 Α 66.000 03/01/2029 66,000 \$0.00 66.000 D (Right to Stock Buy)

Explanation of Responses:

1. Award of Restricted Stock Units ("RSUs") under the Company's 2014 Incentive Plan. The RSUs shall vest with respect to 1/4th of the underlying shares on each anniversary of the grant date, such that the RSUs are fully vested on the fourth anniversary of the grant date.

2. Includes previously reported shares of common stock underlying RSUs granted to the Reporting Person, which are subject to certain vesting conditions.

3. Represents shares surrendered to the Issuer by the Reporting Person to pay required tax withholdings due to the vesting of RSUs.

4. On the first anniversary of the grant date of the option (the "Option Anniversary Date"), 1/4th of the shares initially subject to the option shall vest; thereafter, 1/48th of the shares initially subject to the option shall vest on each month as measured from the Option Anniversary Date.

**Remarks:** 

/s/ Ruben A. Garcia, attorney- 03/04/2019

<u>in-fact</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.