SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

to Section 16	6. Form 4 or Form 5	• • • • • • • • • • • • • • • • • • • •			Estimated av	rerage burden	
Obligations n Instruction 1	nay continue. See (b).	File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934	4	hours per response:		0.5
	(-)-	1 110	or Section 30(h) of the Investment Company Act of 1940	•	<u>.</u>		
1. Name and Ad	dress of Reporting	g Person [*]	2. Issuer Name and Ticker or Trading Symbol		Reporting Person(s) to Issuer		
1	Theodore Al	•	Ultragenyx Pharmaceutical Inc. [RARE]	(Check all applica Director	,	10% Owner	
					give title	Other (speci	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	below)	give and	below)	,
	· · /		10/14/2020	SVP	Controller and PAO		
		MACEUTICAL INC.					
60 LEVERO	NI COURT						
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Jo Line)	oint/Group Filing	J (Check Applica	able
(Street)				l í	ed by One Repo	ortina Person	
NOVATO	CA	94949				n One Reporting	a
				Person		ene repeting	9
(City)	(State)	(Zip)					
		Table I - Non-Deriva	ative Securities Acquired, Disposed of, or Bene	ficially Owned			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock	10/14/2020		S		146(1)	D	\$87.98	16,436 ⁽²⁾	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Nur of Deriva Secur Acqui (A) or Dispo of (D) (Instr. and 5)	ative ities red sed 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
							Date	Expiration		Amount or Number of				

(A) (D) Exercisable Date

Explanation of Responses:

1. Represents shares sold to pay required tax withholdings due to the vesting of Restricted Stock Units ("RSUs").

2. Includes previously reported shares of common stock underlying RSUs granted to the Reporting Person, which are subject to certain vesting conditions.

Code V

Remarks:

/s/ Karah Parschauer, attorney-10/16/2020

Title Shares

in-fact

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.