SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
|--|
| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | | | | | | | | | | |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | | |
| Estimated average burden | | | | | | | | | | |
| hours per response: | 0.5 | | | | | | | | | |

| 1. Name and Address of Reporting Person* | | Person [*] | 2. Issuer Name and Ticker or Trading Symbol <u>Ultragenyx Pharmaceutical Inc.</u> [RARE] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---------------|---------------------|--|--|----------------------------------|-----------------------|--|--|--|
| KAKKIS E | <u>LMIL D</u> | | | X | Director | 10% Owner | | | |
| (Last) | (First) | (Middle) | - 3. Date of Earliest Transaction (Month/Day/Year) | - x | Officer (give title below) | Other (specify below) | | | |
| . , | | MACEUTICAL INC. | 05/21/2015 | President & CEO | | | | | |
| 60 LEVERO | NI COURT | | | | | | | | |
| (Street) | | | - 4. If Amendment, Date of Original Filed (Month/Day/Year) | 6. Ind Line) | ividual or Joint/Group Filir | ng (Check Applicable | | | |
| NOVATO | CA | 94949 | | X | Form filed by One Re | porting Person | | | |
| , | | | - | | Form filed by More the Person | an One Reporting | | | |
| (City) | (State) | (Zin) | | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | | | | 5. Amount of Securities Beneficially Owned Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership |
|---------------------------------|--|---|---|---|-----------------------|---------------|-------|---|---|---|
| | | | Code | v | Amount | (A) or (D) | Price | Reported Transaction(s) (Instr. 3 and 4) | | (Instr. 4) |
| Common Stock | 05/21/2015 | | A | | 11,400 ⁽¹⁾ | A | (2) | 635,640 | D | |
| Common Stock | | | | | | | | 2,552,241 | Ι | By Emil Kakkis and Jenny Soriano Living Trust, dated June 18, 2009 |

| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | |
|--|---|--|---|------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transa Code (8) | | of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
| Stock Option (Right to Buy) | \$84.89 | 05/21/2015 | | A | | 68,300 | | (3) | 05/20/2025 | Common Stock | 68,300 | \$0.00 | 68,300 | D | |

Explanation of Responses:

1. Award of Restricted Stock Units under the Company's 2014 Incentive Plan that vests with respect to 1/4 of the underlying shares on each anniversary of the grant date such that the Restricted Stock Units are fully vested on the fourth anniversary of the grant date.

2. Not applicable.

3. The option shall vest with respect to 1/4th of the underlying shares on the first anniversary of the grant date and then with respect to 1/48th of the underlying shares monthly thereafter.

Remarks:



05/27/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.